

APPENDIX K FRAMEWORK SOCIAL INVESTMENT PLAN

1. FRAMEWORK SOCIAL INVESTMENT PLAN

1.1 Goal and Objectives

The Social Investment Plan (SIP) sets the priorities, objectives, and criteria that will determine the level and type of contribution the Project will make to supporting community development. The goal of the Social Investment Plan is to serve as a catalyst for broad-based, sustainable socio-economic development of the Project area, which in turn will reduce dependence on the Project for socio-economic well-being.

The SIP will be guided by a set of principles including:

1. **Active participation and ownership:** Partners – from both government and civil society – will be sought where appropriate to avoid creating a dependence on the Project for ongoing support. The Plan will be prepared in consultation with relevant external stakeholders, including representatives of marginalized and vulnerable groups. Investments made by the Project will align with local development priorities and existing government plans where possible, and will aim to build local capacity and ownership over the development process.
2. **Income generation and capacity building:** the SIP will build on revenue-generating opportunities and local capacity building by enhancing existing skills and knowledge and local institutions; and supporting new skills development opportunities where required.
3. **Sustainability:** projects will be designed to promote self-reliance and avoid dependency while creating opportunities for government support and partnerships with other development actors; they will have a clear sustainability strategy.
4. **Transparency:** The process for selecting, monitoring and reporting on social investments made by the Project will be broadly disseminated to enable equal access among communities and groups, as well as to manage expectations and strengthen the Project's social license to operate.
5. **Measureable outcomes:** project outcomes for CIMAF and beneficiaries will be measureable using outcomes and impact indicators to measure change.

The objectives of the Social Investment Plan are to:

1. Engage with relevant stakeholders in planning and implementing specific community development strategies, and build the capacity of stakeholders to plan and participate in sustainable socio-economic development.
2. Undertake a number of "good-will" projects at the start of Project construction
3. Focus investments on priority areas that build capacity and support sustainable long-term economic growth without creating dependence on the Project.
4. Identify local partners to support implementation of investments, with the aim of building local capacity.
5. Ensure that all groups – including women, herders, youth, and other vulnerable and/or marginalised groups are able to fully participate in development planning and benefits.
6. Implement an effective monitoring and evaluation program to track expenditures and investment impacts.

1.2 Desired Outcomes

The desired outcomes of the Social Investment Plan, together with an initial set of key performance indicators, are presented in Table 1-1.

Additional key performance indicators, along with specific targets, will be defined by CIMAF through the proposed consultation process described below.

Table 1-1: Outcomes and Indicators for Social Investment Plan

DESIRED OUTCOME	KEY PERFORMANCE INDICATOR
Involve key stakeholders in definition and implementation of Action Plan	Number of meetings per year
Creation of sustainable vehicle for definition and delivery of community development initiatives	Date of establishment of vehicle and annual reports
Improvements in quality of life indicators in Project area communities	Broad range of indicators to be defined by Committee
Leveraging of Community and community investment in community development by acquiring third party financing and support	Amount of third party financing and support

1.3 Activities

Activity A: CIMAF will work with relevant stakeholders, from both local government and representatives of key development-related stakeholder groups, to define and implement this Plan. Activities will include:

1. Consulting with the broader community
2. Defining the geographic scope of community development activities
3. Overseeing each of the below activities, including examining the potential participation of non-governmental organisations or other third party organisations
4. Defining an appropriate implementation vehicle(s)
5. Identifying roles and responsibilities for all partners with the overall goal of securing the participation of each partner in the process
6. Identifying additional partners / funding to support the below activities
7. Ensuring that development initiatives are defined, prioritised, planned and implemented in a spirit of transparency, fairness and equitability
8. Monitoring and evaluating development initiatives.

Activity B: CIMAF will consider the definition, planning and implementation of the following “good-will” projects at start of construction to demonstrate the intention of CIMAF to participate in the sustainable development of the Project area. These projects will be presented as gifts, and care will be taken to explain that all future projects will be planned and implemented in a participatory manner that involves contributions from the civil, public and private sectors. These projects will be focused on community projects rather than individual projects.

Activity C: CIMAF will undertake an analysis of household livelihoods in the local area and prepare a long-term Social Investment Plan. Activities will include:

1. Examining existing livelihood strategies and analyzing strengths, weaknesses, opportunities and threats
2. Identifying related projects already underway in the local area or region
3. Supporting local government to identify development priorities in a participatory manner that includes vulnerable and/or marginalised groups
4. Supporting local government to establish partnerships with other development and business actors to implement development priorities
5. Identifying potential resources according to development priorities – may include funding as well as in kind contributions
6. Drafting a long-term Social Investment Plan, which defines specific initiatives that capitalise on strengths and opportunities, minimise vulnerability to weaknesses and threats, and “piggyback” on ongoing projects
7. Engaging in a broader public process to discuss, refine and finalise the draft plan
8. Establishing clear criteria for Project contribution to the Social Investment Plan.

Activity D: CIMAF will establish clear criteria for Project contribution to the Social Investment Plan, including:

1. Prioritising interventions that contribute towards Project social management objectives and, in particular, minimise negative impacts;
2. Prioritising Project support to those interventions that directly target vulnerable and/or marginalised groups;
3. Clearly communicating Project funding criteria and priorities to all local communities.