

| Environmental and Social (E&S) Action Plan - FINAL | | | | | |
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| Project Name: Zhaoheng Hydro | | | IFC Project ID: 30266 | | |
| Name/Title of Client Representative providing status update and date: _____ | | | Country: China | | |
| | | | | *Client to submit annually until completion | |
| No. | Task Title/Description | Completion Date | Indicator of Completion | Status as of DD/MM/YYYY | % Complete |
| 1 | Formulate and implement a staff/contractor training and implementation program to help hub offices/power stations translate revised corporate E&S Management System (ESMS) requirements into site-specific procedures and management plans consistent with corporate requirements. | By December 31, 2013 and before second disbursement | Program document in place; budget commitment letter | | |
| 2 | Commission qualified and experienced E&S consultants to conduct site-based DD review of potential acquisition projects using the E&S DD SOP. This is required if E&S risk screening of a potential project by the external consultant(s) has determined a potential project to have met a number of pre-agreed screening criteria to be developed as part of the SOP under 2(j). | i) as per 2(j) - by October 30, 2013 and before second disbursement ii) 60 days before signing of final acquisition agreement iii) 15 days before signing of final acquisition agreement | i) Screening criteria developed ii) ToR/Consultant accepted by Company (and IFC for first 2 projects after commitment) iii) Final version of due diligence reports accepted by Company (and IFC for first 2 reports after commitment) | | |
| 3 | Undertake an annual ESMS implementation effectiveness review, with the first review conducted by a qualified external consultant. | i) First disbursement + 120 days and before second disbursement ii) By June 30, 2014 iii) Annually | i) ToR and Consultant agreed between Company and IFC ii) First ESMS review results available and endorsed by Company BoD/IFC iii) Subsequent review reports annexed to AMR | | |
| 4 | All existing power stations reviewed to ensure remediation of the following (where applicable) - oil residues on floor; uncovered manholes in turbine halls; missing safety covers over moving generator parts. | First disbursement + 90 days and before second disbursement | Photographs of applicable power stations; remediation completion report | | |
| 5 | Undertake necessary actions to minimize noise from the Mangyahe I power house, and other acquired Zhaoheng facilities in close proximity to local communities (i.e. less than 100 meters away) e.g. a solid wall and/or noise dampening on the side of the turbine hall near dwelling, double-glazed windows, etc. | i) Mangyahe I - before first disbursement; ii) All other acquired facilities - before second disbursement | Photographs of power houses displaying reinforcements; decibel meter reading results at the nearest local community points confirmed to meet World Bank Group EHS General Guideline limits | | |
| 6 | Should the Company consider acquiring or developing project(s) in a critical habitat area, or in a legally protected area, or in an internationally recognized area (e.g. Nu/Salween river basin), before finalizing acquisition or development plans, the Company will commission assessments by a qualified and experienced external expert to confirm that requirements listed in paragraphs 16-20 of IFC Performance Standard 6 have been met. Where the assessment finds gaps but recommends that mitigations can be undertaken to address those gaps, the Company will confirm its commitment to implementing proposed mitigation measures, and IFC will retain prior review rights before the proposed acquisitions/developments are finalized. An external audit by a qualified and experienced expert will be conducted to verify mitigation effectiveness. Note: Refer to paragraphs 16-20 of IFC Performance Standard 6 for definitions of critical habitat, legally protected, and internationally recognized areas. | i) Before signing project MoU ii) 30 days before signing of final acquisition or development agreement iii) Before signing of final acquisition or development agreement iv) Within 6 months of commencing mitigation measures | i) Notify IFC of intention to acquire or develop the project; External expert agreed by Company and IFC ii) Final external assessment results acceptable to Company and IFC; and Company's letter of undertaking to implement mitigation measures iii) IFC written consent secured for project go-ahead iv) External audit results | | |

Note: "First disbursement" in this document refers to first loan disbursement; "Second disbursement" refers to second loan disbursement.