

Zhongda Hydro II (IFC Project # 26586)
Environmental and Social Action Plan (ESAP)

	Action	Completion Indicators	Due Date
Social and Environmental Assessment and Management Systems			
CORPORATE AND OPERATING COMPANIES	1. Sanchuan Energy (SE) has satisfactorily resolved all social issues associated with the Zhongda Yanjin Babishuijiang project in Yunnan, China (e.g. gaining community consent by relocated persons at Cascade III).	Following submitted to and approved by IFC: 1. External monitoring report on the implementation of Huangcao village relocation actions indicates satisfactory and PS5 compliant resolution of critical actions. 2. Resettlement Action Plan (RAP) final audit report by external monitoring agency indicates satisfactory resolution of remaining social issues.	1. Condition Of Disbursement (COD) – 1st 2. COD – 2nd
	2. SE has submitted progress reports on its efforts to restore the livelihoods of affected local communities at the Zhongda Yanjin Baishuijiang Project site.	1. First report submitted; 2. As part of RAP final auditing process, external monitoring agency accepts first progress report as adequate. 3. Subsequent reports submitted;	1. COD – 1st 2. COD – 1st 3. Three semi-annual reports
	3. SE has in place a system at the holding company level to effectively screen all future hydro acquisitions and monitor existing assets against IFC Performance Standards requirements, at various stages of each hydropower project. This methodology will provide easy access to traceable and auditable information, and will include the use of qualified external experts where E&S issues require specific expertise such as on resettlement, biodiversity, and minority ethnic groups.	E&S policies, procedures, organizational charts (with clearly defined roles and responsibilities) are in place and acceptable to IFC – at both holding company and operating company levels.	COD – 1 st + 60 days
	4. SE has a set of guidelines and procedures prescribing its approach towards restoring the livelihoods of project affected persons.	Guidelines and procedures acceptable to IFC.	COD – 1st + 60 days
	5. SE has a plan for ongoing E&S training of project and management staff.	Plan acceptable to IFC	COD – 1st + 120 days
	6. SE has a platform to communicate updated E&S information to external stakeholders particularly communities directly affected or those adjacent to project areas.	1. Functional platform in place. 2. Records of communications with external stakeholders.	1. COD – 1st +120 days 2. Ongoing on a semi-annual basis

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Labor and Working Conditions			
CORPORATE	7. SE will review each operating company's human resource policy and procedures in detail to ensure that actual implementation fulfill national and IFC requirements. As part of the review, SE will commit to ensuring that all contractors follow its human resource policy and requirements. SE will develop detailed Occupational Health & Safety Policies and Procedures at the holding company and operating company levels. Special attention will be required during the review to ensure that safe driving policies and practices are observed on the ground, so that drivers are not under any pressure to work overtime without proper rest.	1. HR review completed and acceptable to IFC. 2. Sample copies of service contracts signed between SE and contractors submitted to IFC. 3. Copy of HR and OHS policies and procedures submitted to IFC – including safe driving provisions and regulations.	1. COD – 1st + 90 days 2. COD – 1st + 110 days 3. COD – 1st + 130 days